

#6
P.D.
9-22-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Hidekazu TANAKA : Attn: BOX PCT
Serial No. NEW : Docket No. 2000-0893A
Filed July 31, 2000 :
SPEAKER APPARATUS
[Corresponding to PCT/JP99/00645
Filed November 29, 1999] 5/9/99 6645

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on the attached Form A legible copy of each reference listed on the Form PTO-1449 is enclosed.

- a. ☐ within 3 months of the filing date of the above-identified application, or
☒ within 3 months of entry into National stage prosecution of an International application, or ☐ before the mailing date of the first Office Action on the merits, and thus:
- ☒ no certification and/or fee is required.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:
- ☐ the certification of paragraph 2 below is provided,
or
☐ a fee of \$240.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus:
- ☐ the certification of paragraph 2 below is provided,

- ☐ and
a Petition and fee of \$130.00 are enclosed.

2. It is hereby certified

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. application, prior uses and/or sales, etc.) is requested:

4. ☒ For each non-English language reference listed on the attached Form PTO-1449:

- ☒ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) , and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:

The cited references are those from the International Search Report, submitted herewith (Attachment). It is believed that WIPO has provided copies of these references to the PTO.

6. (X) Also enclosed is a copy of the International Search Report citing these references.

Respectfully submitted,

Hidekazu TANAKA

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533 Rec. CT/PTO 31 JUL 2000

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 31, 2000

ATTY DOCKET NO.
2000-0893ASERIAL NO.
NEWAPPLICANT
Hidekazu TANAKAFILING DATE
July 31, 2000GROUP
2644

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Rd	AA	5,596,311	1/21/97	Bess et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
Rd	AJ	7-162990	6/23/95	JP			Abstr.	
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

9/22/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.